

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                  |                            |   |   |
|------------------|----------------------------|---|---|
| In re:           | Application No. 10/571,796 | ) | <i>Confirmation No. 1287</i>  |
| Filed:           | February 22, 2007          | ) |   |
| Applicant:       | Michael WATERS             | ) | _____   |
| Title:           | LIGHTED READING GLASSES    | ) | This Submission of Supplemental Application Data Sheet and Request      |
| Art Unit:        | 2873                       | ) | For Corrected Filing Receipt was electronically filed on August 1, 2007 |
| Examiner:        | Not yet assigned           | ) | using the USPTO's EFS-Web.  |
| Attorney Docket: | 7122/87594                 | ) |   |
| Customer No.:    | 22242                      | ) |   |

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET  
AND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Submitted herewith is a Supplemental Application Data Sheet to update information provided in the Application Data Sheet initially filed with application.

The updated information is underlined on the Supplemental Application Data Sheet and is as follows:

On Page #1, the Application Number assigned to the application is 11/571,796, the Filing Date has been changed from "March 15, 2006" to February 22,

Michael WATERS

Application No. 10/571,796, filed February 22, 2007

SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET

AND REQUEST FOR CORRECTED FILING RECEIPT dated August 1, 2007

2007, and the Attorney Docket Number has been changed from "7122/73853" to  
7122/87594.

On Page #2, in the Domestic Priority Information for PCT/US02/035665 the Continuity Type has been changed from "Continuation of" to Continuation-in-part of.

Enclosed is a copy of the official Filing Receipt we received from the United States Patent and Trademark Office for the above-identified application. We have noted thereon correction to the section entitled "Foreign Applications" and to the "Attorney Docket Number." As indicated in the Domestic Priority Information on Page #2 of the Application Data Sheet, and as indicated in the Prior U.S. Applications listed on page 2 of the Declaration filed on February 22, 2007 (copy enclosed), the application number of the prior application filed on November 7, 2001 is "10/006,919." As also indicated on the Declaration, the Attorney Docket Number assigned to this application is "7122/87594."

It is respectfully requested that the United States Patent and Trademark Office issue a corrected Filing Receipt to incorporate the changes herein requested.

Michael WATERS

Application No. 10/571,796, filed February 22, 2007

SUBMISSION OF SUPPLEMENTAL APPLICATION DATA SHEET

AND REQUEST FOR CORRECTED FILING RECEIPT dated August 1, 2007

The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this communication, or credit any overpayment, to Deposit Account No. 06-1135.

Respectfully submitted,  
FITCH, EVEN, TABIN & FLANNERY

Dated: August 1, 2007

/Jeffrey A. Chelstrom/

Jeffrey A. Chelstrom  
Registration No. 57,915

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488603

### Supplemental Application Data Sheet

#### **Application Information**

Application Number:: 11/571,796  
Filing Date:: February 22, 2007  
Application Type:: 35 U.S.C. 371 of PCT/US02/35665 filed  
November 7, 2002  
Title:: LIGHTED READING GLASSES  
Attorney Docket Number:: 7122/87594  
Total Drawing Sheets:: 10  
Small Entity?:: Yes  
Petition included?:: Yes  
Petition Type:: Petition Under 37 CFR §1.137(b) to Revive

#### **Applicant Information**

Applicant Authority type:: Inventor  
Primary Citizenship Country: US  
Status:: Full Capacity  
Given Name:: Michael  
Family Name:: Waters  
City of Residence:: Barrington Hills  
State or Province of Residence:: IL  
Country of Residence:: United States of America  
Street of mailing address:: 372 Bateman Circle North  
City of mailing address:: Barrington Hills  
State or Province of mailing address:: IL  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60010

**Correspondence Information**

Correspondence Customer Number:: 22242  
Name:: Fitch, Even, Tabin & Flannery  
Street of mailing address:: 120 South LaSalle Street, Suite 1600  
City of mailing address:: Chicago  
State or Province of mailing address:: IL  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60603-3406  
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E-Mail address:: ssfava@fitcheven.com

**Representative Information**

|                                  |                    |
|----------------------------------|--------------------|
| Representative Customer Number:: | 22242              |
| Attorney Name::                  | Stephen S. Favakeh |
| Registration Number::            | 36,798             |

**Domestic Priority Information**

| Application::    | Continuity Type::       | Parent Application:: | Parent Filing Date:: |
|------------------|-------------------------|----------------------|----------------------|
| This Application | National Stage of       | PCT/US02/35665       | 11/07/02             |
| PCT/US02/35665   | Continuation-in-part of | 10/145,595           | 05/14/02             |
| 10/145,595       | Continuation-in-part of | 10/006,919           | 11/07/01             |

**DECLARATION  
FOR UTILITY OR DESIGN  
PATENT APPLICATION**

|   |                         |                  |
|---|-------------------------|------------------|
| □ Declaration Submitted With<br>Initial Filing  | ) Attorney Docket No.:  | 7122/87594       |
|   | ) Customer No.:         | 22242            |
|   | )                       |                  |
| ☒ Declaration Submitted After<br>Initial Filing | ) First Named Inventor: | Michael WATERS   |
|   | ) Application Number:   | 10/571,796       |
|   | )                       |                  |
| ☒ Declaration Submitted After<br>Initial Filing | ) Filing Date:          | November 7, 2002 |
|   | ) Art Unit:             | Not yet assigned |
|   | ) Examiner Name:        | Not yet assigned |

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

LIGHTED READING GLASSES

*(Title of Invention)*

the specification of which:

is attached hereto, or

was filed by an authorized person on my behalf on November 7, 2002 as United States Application Number 10/571,796 or PCT International Application Number \_\_\_\_\_, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and I have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application Number(s) | Country | Foreign Filing Date | Priority Not Claimed                |
|-------------------------------------|---------|---------------------|-------------------------------------|
| <u>NONE</u>                         |         |                     | <input checked="" type="checkbox"/> |

Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

| Provisional Application Number(s) | Provisional Application Filing Date |
|-----------------------------------|-------------------------------------|
| <u>NONE</u>                       |                                     |

Additional provisional application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code, §120, of any prior United States application(s), or under §365(c) of any PCT international application(s) designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

| Prior U.S. Application Number | Prior PCT International Application Number | Filing Date of U.S. or PCT International Application | Patent Number (if applicable) |
|-------------------------------|--|--|-------------------------------|
| 10/006,919                    |  | November 7, 2001                                     | 6,612,695                     |
| 10/145,595                    |  | May 14, 2002   | 6,612,696                     |
| PCT/US02/35665                |  | November 7, 2002                                     |                               |

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the practitioners associated with Customer Number 22242, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and request that all correspondence and telephone calls in respect to this application be directed to FITCH, EVEN, TABIN & FLANNERY, Suite 1600, 120 South LaSalle Street, Chicago, Illinois 60603-3406, Telephone No. (312) 577-7000, Facsimile No. (312) 577-7007, CUSTOMER NUMBER 22242.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity or enforceability of the application or any patent issued thereon.

Full name of sole or one  
joint inventor:

Michael Waters  
(Given name first, with family name last)

Inventor's signature:

M. Waters

Date:

2-14-07

Residence:

Barrington Hills, Illinois

(City and State for U.S. Residents; City and Country for others)

Post Office Address:

372 Bateman Circle North

Barrington Hills, Illinois 60010

Citizenship:

United States of America



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPL NO.   | FILING OR 371(c)<br>DATE | ART UNIT | FIL FEE RECD | ATTY.DOCKET NO                     | TOT CLMS | IND CLMS |
|------------|--------------------------|----------|--------------|------------------------------------|----------|----------|
| 10/571,796 | 02/22/2007               | 2873     | 365          | 7122/73853-PC<br><i>7122/87594</i> | 8        | 1        |

CONFIRMATION NO. 1287

22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 60603-3406

## FILING RECEIPT



'OC000000023846581'

Date Mailed: 05/16/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Michael Waters, Barrington Hills, IL;

**Power of Attorney:** The patent practitioners associated with Customer Number 22242.**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/US02/35665 11/07/2002

**Foreign Applications**10/006,919UNITED STATES OF AMERICA 10/006,616 11/07/2001  
 UNITED STATES OF AMERICA 10/145,595 05/14/2002**If Required, Foreign Filing License Granted:** 05/11/2007**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/571,796****Projected Publication Date:** 08/23/2007**Non-Publication Request:** No**Early Publication Request:** No

**\*\* SMALL ENTITY \*\*****Title**

Lighted reading glasses

**Preliminary Class**

351

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).